# RULES AND REGULATIONS

# **RULE 442 ARCHITECTURAL COATINGS**

Adopted 12-6-78

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#### 100 GENERAL

- 101 **PURPOSE:** To limit the <u>quantity emissions</u> of volatile organic compounds <u>infrom the use</u> of architectural coatings supplied, sold, offered for sale, applied, solicited for application, or manufactured for use within the District.
- 102 **APPLICABILITY:** Except as provided in Section 110, this rule is applicable to any person who:

<u>102.1</u> <u>Supplies</u>, sells, <u>or</u> offers for sale, <u>or manufacturers</u> any architectural coating for use within the District; <u>or</u>

<u>102.2</u> <u>Manufactures, blends, or repackages any architectural coating for use within the</u> <u>District; or</u>

<u>102.23</u>, as well as any person who a<u>A</u>pplies or solicits the application of any architectural coating within the District-;

- 103 **SEVERABILITY:** If a court of competent jurisdiction issues an order that any provision of this rule is invalid, it is the intent of the Board of Directors of the District that other provisions of this rule remain in full force and affect, to the extent allowed by law.
- 110 **EXEMPTIONS:** This rule does not apply to:
  - 110.1 Any architectural coating that is <u>supplied</u>, sold, <u>offered for sale</u>, or manufactured for use outside of the District or for shipment to other manufacturers for reformulation or repackaging;
  - 110.2 Any aerosol coating product; or
  - 110.3 <u>With the exception of Section 501, a</u>Any architectural coating that is sold in a container with a volume of one liter (1.057 quart) or less <u>provided the following</u> requirements are met:
    - a. The container is not bundled together to be sold as a unit that exceeds one liter (1.057 quarts), excluding containers packed together for shipping to a retail outlet.
    - b. The label or any other product literature does not suggest combining multiple containers so that the combination exceeds one liter (1.057 quarts).

## 200 DEFINITIONS

- 201 **ADHESIVE:** Any chemical substance that is applied for the purpose of bonding two surfaces together other than by mechanical means.
- 202 **AEROSOL COATING PRODUCT:** A pressurized coating product containing pigments or resins that dispense product ingredients by means of a propellant, and is packaged in a disposable can for hand-held application, or for use in specialized equipment for ground traffic/marking applications.
- 203 ALUMINUM ROOF COATING: A coating labeled and formulated exclusively for application to roofs and containing at least 84 grams of elemental aluminum pigment per liter of coating (at least 0.7 pounds per gallon). Pigment content shall be determined in accordance with SCAQMD Method 318-95, incorporated by reference in Section 502.4.d.
- 2043 ANTENNA COATING: A coating labeled and formulated exclusively for application to equipment and associated structural appurtenances that are used to receive or transmit electromagnetic signals. (This definition will sunset on (six months after date of adoption) and any coating meeting this definition will be subject to the VOC content limit in Table 1 in Section 301 for the applicable category, except as provided in Section 302).
- 2054 **ANTIFOULING COATING:** A coating labeled and formulated for application to submerged stationary structures and their appurtenances to prevent or reduce the attachment of marine or freshwater biological organisms. To qualify as an antifouling

coating, the coating must be registered with both the U.S. Environmental Protection Agency under the Federal Insecticide, Fungicide, and Rodenticide Act (7 U.S.C. Section 136, *et seq.*) and with the California Department of Pesticide Regulation. (This definition will sunset on (six months after date of adoption) and any coating meeting this definition will be subject to the VOC content limit in Table 1 in Section 301 for the applicable category, except as provided in Section 302).

- 2065 **APPURTENANCES:** Any accessory to a stationary structure coated at the site of installation, whether installed or detached, including, but not limited to: bathroom and kitchen fixtures; cabinets; concrete forms; doors; elevators; fences; hand railings; heating equipment, air conditioning equipment, and other fixed mechanical equipment or stationary tools; lampposts; partitions; pipes and piping systems; rain-gutters and down-spouts; stairways, fixed ladders, catwalks, and fire escapes; and window screens.
- 2076 **ARCHITECTURAL COATING:** A coating to be applied to stationary structures and their appurtenances at the site of installation, to portable buildings at the site of installation, to pavements, or to curbs. Coatings applied in shop applications or to non-stationary structures such as airplanes, ships, boats, railcars, and automobiles, and adhesives are not considered architectural coatings for the purpose of this rule.
- 208 BASEMENT SPECIALTY COATING: A clear or opaque coating that is labeled and formulated for application to concrete and masonry surfaces to provide a hydrostatic seal for basements and other below-grade surfaces. Basement Specialty Coatings must meet the following criteria:
  - 208.1 The coating must be capable of withstanding at least 10 psi of hydrostatic pressure, as determined in accordance with ASTM Standard D7088-08, which is incorporated by reference in Section 502.4.n; and
  - 208.2 The coating must be resistant to mold and mildew growth and must achieve a microbial growth rating of 8 or more, as determined in accordance with ASTM D3273-12 and ASTM D3274-09e1, incorporated by reference in Section 502.4.t.
- 20<u>9</u>**7 BITUMENS:** Black or brown materials including, but not limited to, asphalt, tar, pitch, and asphaltite that are soluble in carbon disulfide, consist mainly of hydrocarbons, and are obtained from natural deposits or as residues from the distillation of crude petroleum or coal.
- 21008 **BITUMINOUS ROOF COATING:** A coating which incorporates bitumens that is labeled and formulated exclusively for roofing.
- 2<u>11</u>09 **BITUMINOUS ROOF PRIMER:** A primer which incorporates bitumens that is labeled and formulated exclusively for roofing and intended for the purpose of preparing a weathered or aged surface or improving the adhesion of subsequent surfacing components.
- 2120 **BOND BREAKERS**: A coating labeled and formulated for application between layers of concrete to prevent a freshly poured top layer of concrete from bonding to the layer over which it is poured.
- 21<u>3</u>4 **CLEAR BRUSHING LACQUERS:** Clear wood finishes, excluding clear lacquer sanding sealers, formulated with nitrocellulose or synthetic resins to dry by solvent evaporation without chemical reaction and to provide a solid, protective film, which are intended exclusively for application by brush, and which are labeled as specified in Section 401.5. (This definition will sunset on (six months after date of adoption) and any coating meeting this definition will be subject to the VOC content limit in Table 1 in Section 301 for the applicable category, except as provided in Section 302).
- 2142 CLEAR WOOD COATINGS: Clear and semi-transparent coatings, including lacquers and varnishes, applied to wood substrates to provide a transparent or translucent solid film. (This definition will sunset on (six months after date of adoption) and any coating

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meeting this definition will be subject to the VOC content limit in Table 1 in Section 301 for the applicable category, except as provided in Section 302).

- 21<u>5</u>**3 COATING:** A material applied onto or impregnated into a substrate for protective, decorative, or functional purposes. Such materials include, but are not limited to, paints, varnishes, sealers, and stains.
- 21<u>6</u>4 **COLORANT:** A concentrated pigment dispersion in water, solvent, and/or binder that is added to an architectural coating after packaging in sale units to produce the desired color.
- 2175 CONCRETE CURING COMPOUND: A coating labeled and formulated for application to freshly poured concrete to perform one of more of the following functions:
   217.1 FRetard the evaporation of water. or
   217.2 Harden or dustproof the surface of freshly poured concrete.
- 218 CONCRETE/MASONRY SEALER: A clear or opaque coating that is labeled and formulated primarily for application to concrete and masonry surfaces to perform one or more of the following functions:
  - 218.1 Prevent penetration of water; or
  - 218.2 Provide resistance against abrasion, alkalis, acids, mildew, staining, or ultraviolet light; or
  - 218.3 Harden or dustproof the surface of aged or cured concrete.
- 219 DRIVEWAY SEALER: A coating labeled and formulated for application to worn asphalt driveway surfaces to perform one or more of the following functions:
  - 219.1 Fill cracks; or
  - 219.2 Seal the surface to provide protection; or
  - 219.3 Restore or preserve the appearance.
- 22016 **DRY FOG COATING:** A coating labeled and formulated only for spray application such that overspray droplets dry before subsequent contact with incidental surfaces in the vicinity of the surface coating activity.
- 22147 EXEMPT COMPOUND: For the purposes of this rule, "exempt compound" has the same meaning as in Rule 101—GENERAL PROVISIONS AND DEFINITIONS. Exempt compounds content of a coating shall be determined by South Coast Air Quality Management District Method 303-91 (Revised February 1993), incorporated by reference in Section 502.4.j.
- 22248 FAUX FINISHING COATING: A coating labeled and formulated to meet one or more of the following criteria:
  - 222.1 as a stain or A glaze or textured coating used to create artistic effects, including, but not limited to, dirt, suede, old age, smoke damage, and simulated marble and wood grain, or
  - 222.2 A decorative coating used to create a metallic, iridescent, or pearlescent appearance that contains at least 48 grams of pearlescent mica pigment or other iridescent pigment per liter of coating as applied (at least 0.4 pounds per gallon); or
  - 222.3 A decorative coating used to create a metallic appearance that contains less than 48 grams of elemental metallic pigment per liter of coating as applied (less than 0.4 pounds per gallon), when tested in accordance with SCAQMD Method 318-95, incorporated by reference in Section 502.4.d; or
  - 222.4 A decorative coating used to create a metallic appearance that contains greater than 48 grams of elemental metallic pigment per liter of coating as applied (greater than 0.4 pounds per gallon) and which requires a clear topcoat to prevent the degradation of the finish under normal use conditions. The metallic

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pigment content shall be determined in accordance with SCAQMD Method 318-95, incorporated by reference in Section 502.4.d; or

- 222.5 A clear topcoat to seal and protect a Faux Finishing coating that meets the requirements of Section 222.1, 222.2, 222.3, or 222.4. These clear topcoats must be sold and used solely as part of a Faux Finish coating system, and must be labeled in accordance with Section 401.10.
- 22319 FIRE-RESISTIVE COATING: An opaque coating labeled and formulated to protect the structural integrity by increasing the fire endurance of interior or exterior steel and other structural materials, The Fire Resistive category includes sprayed fire resistive materials and intumescent fire resistive coatings that are used to bring that has been fire tested and rated by a testing agency approved by building code officials for use in bringing assemblies of structural materials into compliance with federal, state, and local building code requirements. The fire resistive coating and the testing agency must be approved by building code officials. The fire-resistant coating Fire Resistive coatings shall be tested in accordance with ASTM Designation-E-119-9812a, incorporated by reference in Section 502.4.b. Fire Resistive coatings and testing agencies must be approved by building code officials.
- FIRE-RETARDANT COATING: A coating labeled and formulated to retard ignition and flame spread, that has been fire tested and rated by a testing agency approved by building code officials for use in bringing building and construction materials into compliance with federal, state, and local building code requirements. The fire-retardant coating and the testing agency must be approved by building code officials. The fire-retardant coating shall be tested in accordance with ASTM Designation E-84-9913a, incorporated by reference in Section 502.4.a. (This definition will sunset on (six months after date of adoption) and any coating meeting this definition will be subject to the VOC content limit in Table 1 in Section 301 for the applicable category, except as provided in Section 302).
- 2254 FLAT COATING: A coating that is not defined under any other definition in this rule and that registers gloss less than 15 on an 85-degree meter or less than 5 on a 60-degree meter according to ASTM Designation-D-523-89 (1999)08, incorporated by reference in Section 502.4.c.
- 2262 **FLOOR COATING:** An opaque coating that is labeled and formulated for application to flooring, including, but not limited to, decks, porches, steps, <u>garage floors</u>, and other horizontal surfaces which may be subject to foot traffic.
- 2273 FLOW COATING: A coating labeled and formulated exclusively for use by electric power companies or their subcontractors to maintain the protective coating systems present on utility transformer units. (This definition will sunset on (six months after date of adoption) and any coating meeting this definition will be subject to the VOC content limit in Table 1 in Section 301 for the applicable category, except as provided in Section 302).
- 2284 **FORM-RELEASE COMPOUND:** A coating labeled and formulated for application to a concrete form to prevent the freshly poured concrete from bonding to the form. The form may consist of wood, metal, or some other material other than concrete.
- 2295 **GRAPHIC ARTS COATING OR SIGN PAINT:** A coating labeled and formulated for hand-application by artists using brush, <u>airbrush</u>, or roller techniques to indoor and outdoor signs (excluding structural components) and murals, including lettering enamels, poster colors, copy blockers, and bulletin enamels.
- 23026 HIGH-TEMPERATURE COATING: A high performance coating labeled and formulated for application to substrates exposed continuously or intermittently to temperatures above 204°C (400°F).

- 2<u>31</u>27 **INDUSTRIAL MAINTENANCE COATING:** A high performance architectural coating, including primers, sealers, undercoaters, intermediate coats, and topcoats, formulated for application to substrates, including floors, exposed to one or more of the following extreme environmental conditions listed in Sections 2<u>31</u>27.1 through 2<u>31</u>27.5, and labeled as specified in Section 401.4:
  - 2<u>31</u>27.1 Immersion in water, wastewater, or chemical solutions (aqueous and non-aqueous solutions), or chronic exposure of interior surfaces to moisture condensation; or
  - 23127.2 Acute or chronic exposure to corrosive, caustic, or acidic agents, or to chemicals, chemical fumes, or chemical mixtures or solutions; or
  - 2<u>31</u>27.3 Repeated Frequent exposure to temperatures above 121°C (250°F); or
  - 2<u>31</u>27.4 Repeated (frequent)Frequent heavy abrasion, including mechanical wear and repeated (frequent) scrubbing with industrial solvents, cleansers, or scouring agents; or
  - 2<u>31</u>27.5 Exterior exposure of metal structures and structural components.
- 23228 LACQUER: A clear or opaque wood coating, including clear lacquer sanding sealers, formulated with cellulosic or synthetic resins to dry by evaporation without chemical reaction and to provide a solid, protective film. (This definition will sunset on (six months after date of adoption) and any coating meeting this definition will be subject to the VOC content limit in Table 1 in Section 301 for the applicable category, except as provided in Section 302).
- 23329 LOW-SOLIDS COATING: A coating containing 0.12 kilogram or less of solids per liter (1 pound or less of solids per gallon) of coating material as recommended for application by the manufacturer. The VOC content for Low Solids Coatings shall be calculated in accordance with Section 402.2.
- 23430 **MAGNESITE CEMENT COATING:** A coatings labeled and formulated for application to magnesite cement decking to protect the magnesite cement substrate from erosion by water.
- 235 **MANUFACTURER'S MAXIMUM THINNING RECOMMENDATION:** The maximum recommendation for thinning that is indicated on the label or lid of the coating container.
- 23<u>6</u>4 **MASTIC TEXTURE COATING:** A coating below and formulated to cover holes and minor cracks and to conceal surface irregularities, and is applied in a single coat of at least 10 mils (<u>at least 0.010</u> inch) dry film thickness.
- 237 MEDIUM DENSITY FIBERBOARD (MDF): A composite wood product, panel, molding, or other building material composed of cellulosic fibers (usually wood) made by dry forming and pressing of a resinated fiber mat.
- 2382 **METALLIC PIGMENTED COATING:** A coating containing that is labeled and formulated to provide a metallic appearance. Metallic Pigmented coatings must contain at least 48 grams of elemental metallic pigment (excluding zinc) per liter of coating as applied (at least 0.4 pounds per gallon), when tested in accordance with South Coast Air Quality Management District Method 318-95, incorporated by reference in Section 502.4.d. The Metallic Pigmented Coating category does not include coatings applied to roofs or Zinc-Rich Primers.
- 2393 **MULTI-COLOR COATING:** A coating that is packaged in a single container and that is labeled and formulated to exhibits more than one color when applied in a single coat.
- 24034 **NONFLAT COATING:** A coating that is not defined under any other definition in this rule and that registers a gloss of 15 or greater on an 85-degree meter and 5 or greater on a 60-degree meter according to ASTM <u>Designation</u> D-523-<u>89 (1999), 08</u> incorporated by reference in Section 502.4.c.

- 2<u>41</u>35 NONFLAT HIGH GLOSS COATING: A nonflat coating that registers a gloss of 70 or above greater on a 60 degree meter according to ASTM Designation D-523-89 (1999),08 incorporated by reference in Section 502.4.c. Nonflat – High Gloss coatings must be labeled in accordance with Section 401.9.
- 236 **NONINDUSTRIAL USE:** Nonindustrial use means any use of architectural coatings except in the construction or maintenance of any of the following: facilities used in the manufacturing of goods and commodities; transportation infrastructure, including highways, bridges, airports and railroads; facilities used in mining activities, including petroleum extraction; and utilities infrastructure, including power generation and distribution, and water treatment and distribution systems.
- 242 **PARTICLEBOARD:** A composite wood product panel, molding, or other building material composed of cellulosic material (usually wood) in the form of discrete particles, as distinguished from fibers, flakes, or strands, which are pressed together with resin.
- 243 **PEARLESCENT:** Exhibiting various colors depending on the angles of illumination and viewing, as observed in mother-of-pearl.
- 244 **PLYWOOD:** A panel product consisting of layers of wood veneers or composite core pressed together with resin. Plywood includes panel products made by either hot or cold pressing (with resin) veneers to a platform.
- 24537 POST-CONSUMER COATING: A finished coating that would have been disposed of in a landfill, having completed its usefulness to a consumer, and does not include manufacturing wastes Finished coatings generated by a business or consumer that have served their intended end uses, and are recovered from or otherwise diverted from the waste stream for the purpose of recycling.
- 24638 **PRE-TREATMENT WASH PRIMER:** A primer that contains a minimum of 0.5 percent by acid, by weight, when tested in accordance with ASTM Designation-D-1613-9606(2012), incorporated by reference in Section 502.4.e, that is labeled and formulated for application directly to bare metal surfaces to provide corrosion resistance and to promote adhesion of subsequent topcoats.
- 239 **PRIMER:** A coating labeled and formulated for application to a substrate to provide a firm bond between the substrate and subsequent coats.
- 2479 PRIMER, SEALER, AND UNDERCOATER: A coating labeled and formulated for one or more of the following purposes:
  - 247.1 To provide a firm bond between the substrate and the subsequent coatings; or
  - 247.2 To prevent subsequent coatings from being absorbed by the substrate; or
  - 247.3 To prevent harm to subsequent coatings by materials in the substrate; or
  - 247.4 To provide a smooth surface for the subsequent application of coatings; or
  - 247.5 To provide a clear finish coat to seal the substrate; or
  - 247.6 To block materials from penetrating into or leaching out of a substrate.
- 2480 **QUICK-DRY ENAMEL:** A nonflat coating that is labeled as specified in Section 401.8 and that is formulated to have the following characteristics:
  - 2480.1 Is capable of being applied directly from the container under normal conditions with ambient temperatures between 16 and 27°C (60 and 80°F);
  - 2480.2 When tested in accordance with ASTM <u>Designation</u> D-1640-9503(2009), incorporated by reference in Section 502.4.f., sets to touch in 2 hours or less, is tack free in 4 hours or less, and dries hard in 8 hours or less by the mechanical test method; and
  - 2480.3 Has a dried film gloss of 70 or above on a 60 degree meter.

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(This definition will sunset on (six months after date of adoption) and any coating meeting this definition will be subject to the VOC content limit in Table 1 in Section 301 for the applicable category, except as provided in Section 302).

- 24<u>9</u>4 **QUICK DRY PRIMER, SEALER AND UNDERCOATER:** A primer, sealer or undercoater that is dry to the touch in 30 minutes and can be recoated in 2 hours when tested in accordance with ASTM <u>Designation D1640-9503(2009)</u>, incorporated by reference in Section 502.4.f. (This definition will sunset on (six months after date of adoption) and any coating meeting this definition will be subject to the VOC content limit in Table 1 in Section 301 for the applicable category, except as provided in Section 302).
- 250 **REACTIVE PENETRATING SEALER:** A clear or pigmented coating that is labeled and formulated for application to above-grade concrete and masonry substrates to provide protection from water and waterborne contaminants, including but not limited to, alkalis, acids, and salts. Reactive Penetrating Sealers must penetrate into concrete and masonry substrates and chemically react to form covalent bonds with naturally occurring minerals in the substrate. Reactive Penetrating Sealers line the pores of concrete and masonry substrates with a hydrophobic coating, but do not form a surface film. Reactive Penetrating Sealers must meet all of the following criteria:
  - 250.1 The Reactive Penetrating Sealer must improve water repellency at least 80 percent after application on a concrete or masonry substrate. This performance must be verified on standardized test specimens, in accordance with one or more of the following standards, incorporated by reference in Section 502.4.u: ASTM C67-12, ASTM C97/C97M-09, or ASTM C140-13; and
  - 250.2 The Reactive Penetrating Sealer must not reduce the water vapor transmission rate by more than 2 percent after application on a concrete or masonry substrate. This performance must be verified on standardized test specimens, in accordance with ASTM E96/E96M-12, incorporated by reference in Section 502.4.v; and
  - 250.3 Products labeled and formulated for vehicular traffic surface chloride screening applications must meet the performance criteria listed in the National Cooperative Highway Research Report 244 (1981), incorporated by reference in Section 502.4.w; and

Reactive Penetrating Sealers must be labeled in accordance with Section 401.11.

- 25142 **RECYCLED COATING:** An architectural coating formulated such that not less than 50 percent of the total weight consists of secondary and post-consumer coating, with not less than 10 percent of the total weight consisting of post-consumer coatingit contains a minimum of 50% by volume post-consumer coating, with a maximum of 50% by volume secondary industrial materials or virgin materials.
- 25243 **RESIDENTIAL:** Areas where people reside or lodge, including, but not limited to, single and multiple family dwellings, condominiums, mobile homes, apartment complexes, motels, and hotels.
- 25344 ROOF COATING: A non-bituminous coating labeled and formulated exclusively for application to roofs for the primary purpose of preventing <u>water</u> penetration. <u>of the substrate by water or</u> reflecting heat and ultraviolet <u>light, or reflecting solar</u> radiation. <u>Metallic pigmented roof coatings which qualify as Metallic Pigmented Coating shall not be considered to be in this category, but shall be considered to be in the Metallic Pigmented Coating category.</u>
- 25445 **RUST PREVENTATIVE COATING:** A coating formulated exclusively for nonindustrial use to prevent the corrosion of metal surfaces for one or more of the following applications: and labeled as specified in Section 401.6. 254.1 Direct-to-metal coating; or 254.2 Coating intended for application over rusty, previously coated surfaces.

The Rust Preventative category does not include the following:254.3Coatings that are required to be applied as a topcoat over a primer; or254.4Coatings that are intended for use on wood or any other non-metallic surface.

Rust Preventative coatings are for metal substrates only and must be labeled as such, in accordance with the labeling requirements in Section 401.6.

- 25546 SANDING SEALER: A clear or semi-transparent wood coating labeled and formulated for application to bare wood to seal the wood and to provide a coat that can be abraded to create a smooth surface for subsequent applications of coatings. A sanding sealer that also meets the definition of a lacquer is not included in this category, but is included in the lacquer category. (This definition will sunset on (six months after date of adoption) and any coating meeting this definition will be subject to the VOC content limit in Table 1 in Section 301 for the applicable category, except as provided in Section 302).
- 247 **SEALER:** A coating labeled and formulated for application to a substrate for one or more of the following purposes: to prevent subsequent coatings from being absorbed by the substrate, or to prevent harm to subsequent coatings by materials in the substrate.
- 25648 SECONDARY COATING (REWORK)INDUSTRIAL MATERIALS: A fragment of a finished coating or a finished coating from a manufacturing process that has converted resources into a commodity of real economic value, but does not include excess virgin resources of the manufacturing process Products or by-products of the paint manufacturing process that are of known composition and have economic value but can no longer be used for their intended purpose.
- 257 SEMITRANSPARENT COATING: A coating that contains binders and colored pigments and is formulated to change the color of the surface, but not conceal the grain pattern or texture.
- 25849 **SHELLAC:** A clear or opaque coating formulated solely with the resinous secretions of the lac beetle (Laceiffer lacca), thinned with alcohol, and formulated to dry by evaporation without a chemical reaction.
- 25950 **SHOP APPLICATION:** Application of a coating to a product or a component of a product in or on the premises of a factory or a shop as part of a manufacturing, production, or repairing process (e.g., original equipment manufacturing coatings).
- 2<u>60</u>51 **SOLICIT:** To require for use or to specify, by written or oral contract.

#### 26152 SPECIALTY PRIMER, SEALER AND UNDERCOATER:

- 261.1 Prior to (six months after date of adoption), A-a coating labeled as specified in Section 401.7 and that is formulated for application to a substrate to seal fire, smoke or water damage; to condition excessively chalky surfaces, or to block stains. An excessively chalky surface is one that is defined as having a chalk rating of four or less as determined by ASTM Designation D 4214-9807, incorporated by reference in Section 502.4.g. (This section will sunset on (six months after date of adoption)).
- 261.2 Effective (six months after date of adoption), a coating that is formulated for application to a substrate to block water-soluble stains resulting from: fire damage; smoke damage; or water damage.
- 2<u>62</u>53 **STAIN:** A clear, semitransparent, or opaque coating labeled and formulated to change the color of a surface but not conceal the grain pattern or texture.
- 263 **STONE CONSOLIDANT:** A coating that is labeled and formulated for application to stone substrates to repair historical structures that have been damaged by weathering or other

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decay mechanisms. Stone Consolidants must penetrate into stone substrates to create bonds between particles and consolidate deteriorated material. Stone Consolidants must be specified and used in accordance with ASTM E2167-01(2008), incorporated by reference in Section 502.4.x.

Stone Consolidants are for professional use only and must be labeled as such, in accordance with the labeling requirements in Section 401.12.

- 26454 SWIMMING POOL COATING: A coating labeled and formulated to coat the interior of swimming pools and to resist swimming pool chemicals. <u>Swimming pool coatings include</u> coatings used for swimming pool repair and maintenance.
- 255 SWIMMING POOL REPAIR AND MAINTENANCE COATING: A rubber based coating labeled and formulated to be used over existing rubber based coatings for the repair and maintenance of swimming pools.
- 26556 **TEMPERATURE-INDICATOR SAFETY COATING:** A coating labeled and formulated as a color-changing indicator coating for the purpose of monitoring the temperature and safety of the substrate, underlying piping, or underlying equipment, and for application to substrates exposed continuously or intermittently to temperatures above 204°C (400°F). (This definition will sunset on (six months after date of adoption) and any coating meeting this definition will be subject to the VOC content limit in Table 1 in Section 301 for the applicable category, except as provided in Section 302).
- 2<u>66</u>57 **TINT BASE:** An architectural coating to which colorant is added after packaging in sale units to produce a desired color.
- 26758 **TRAFFIC MARKING COATING:** A coating labeled and formulated for marking and stripping streets, highways, or other traffic surfaces, including, but not limited to, curbs, berms, driveways, parking lots, sidewalks, and airport runways.
- 268 TUB AND TILE REFINISH COATING: A clear or opaque coating that is labeled and formulated exclusively for refinishing the surface of a bathtub, shower, sink, or countertop. Tub and Tile Refinish coatings must meet all of the following criteria: 268 1. The coating must have a scratch bardness of 3H or barder and a gouge barness.
  - 268.1 The coating must have a scratch hardness of 3H or harder and a gouge harness of 4H or harder. This must be determined on bonderite 1000, in accordance with ASTM D3363-05(2011)e2, incorporated by reference in Section 502.4.p; and
  - 268.2 The coating must have a weight loss of 20 milligrams or less after 1000 cycles. This must be determined with CS-17 wheels on bonderite 1000, in accordance with ASTM D4060-10, incorporated by reference in Section 502.4.g; and
  - 268.3 The coating must withstand 1000 hours or more of exposure with few or no #8 blisters. This must be determined on unscribed bonderite, in accordance with ASTM D4585-07, and ASTM D714-02(2009), incorporated by reference in Section 502.4.r; and
  - 268.4 The coating must have an adhesion rating of 4B or better after 24 hours of recovery. This must be determined on unscribed bonderite, in accordance with ASTM D4585-07 and ASTM D3359-09e2, incorporated by reference in Section 502.4.o.
- 259 UNDERCOATER: A coating labeled and formulated to provide a smooth surface for subsequent coats.
- 2690 VARNISH: A clear or semi-transparent wood coating, excluding lacquers and shellacs, formulated to dry by chemical reaction on exposure to air. Varnishes may contain small amounts of pigment to color a surface, or to control the final sheen or gloss of the finish. (This definition will sunset on (six months after date of adoption) and any coating meeting this definition will be subject to the VOC content limit in Table 1 in Section 301 for the applicable category, except as provided in Section 302).

- 270 **VENEER:** Thin sheets of wood peeled or sliced from logs for use in the manufacture of wood products such as plywood, laminated veneer lumber, or other products.
- 271 VIRGIN MATERIALS: Materials that contain no post-consumer coatings or secondary industrial materials.
- 27261 VOLATILE ORGANIC COMPOUND (VOC): For the purposes of this rule, "volatile organic compound" has the same meaning as in Rule 101—GENERAL PROVISIONS AND DEFINITIONS.
- 273 VOC ACTUAL: The weight of VOC per volume of coating, as calculated by the procedure specified in Section 402.2.
- 27462 VOC CONTENT: The weight of VOC per volume of coating, calculated according to the procedures specified in Section 402. VOC Content is VOC Regulatory, as defined in Section 275, for all coatings except those in the Low Solids category. For coatings in the Low Solids category, the VOC Content is VOC Actual, as defined in Section 273. If the coating is a multi-component product, the VOC Content is VOC Regulatory as mixed or catalyzed. If the coating contains silanes, siloxanes, or other ingredients that generate ethanol or other VOCs during the curing process, the VOC content must include the VOCs emitted during curing.
- 275 VOC REGULATORY: VOC Regulatory is the weight of VOC per volume of coating, less the volume of water and exempt compounds, as calculated by the procedure specified in Section 402.1.
- 263 **WATERPROOFING SEALER:** A coating labeled and formulated for application to a porous substrate for the primary purpose of preventing the penetration of water.
- 27664 WATERPROOFING CONCRETE/MASONRY SEALER: A clear or pigmented filmforming coating that is labeled and formulated for sealing concrete and masonry to provide resistance against water, alkalis, acids, ultraviolet light, and staining. (This definition will sunset on (six months after date of adoption) and any coating meeting this definition will be subject to the VOC content limit in Table 1 in Section 301 for the applicable category, except as provided in Section 302).
- 277 WATERPROOFING MEMBRANE: A clear or opaque coating that is labeled and formulated for application to concrete and masonry surfaces to provide a seamless waterproofing membrane that prevents any penetration of liquid water into the substrate. Waterproofing Membranes are intended for the following waterproofing applications: below-grade surfaces, between concrete slabs, inside tunnels, inside concrete planters, and under flooring materials. Waterproofing Membranes must meet the following criteria: 277.1 The coating must be applied in a single coat of at least 25 mils (at least 0.025 inch) dry film thickness; and
  - 277.2 The coating must meet or exceed the requirements contained in ASTM C836/C836M-12, incorporated by reference in Section 502.4.s.

The Waterproofing Membrane category does not include topcoats that are included in the Concrete/Masonry Sealer category (e.g., parking deck topcoats, pedestrian deck topcoats, etc.).

278 WATERPROOFING SEALER: A coating labeled and formulated for application to a porous substrate for the primary purpose of preventing the penetration of water. (This definition will sunset on (six months after date of adoption) and any coating meeting this definition will be subject to the VOC content limit in Table 1 in Section 301 for the applicable category, except as provided in Section 302).

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279 WOOD COATINGS: Coatings labeled and formulated for application to wood substrates only. The Wood Coatings category includes the following clear and semitransparent coatings: lacquers; varnishes; sanding sealers; penetrating oils; clear stains; wood conditioners used as undercoats; and wood sealers used as topcoats. The Wood Coatings category also includes the following opaque wood coatings: opaque lacquers; opaque sanding sealers; and opaque lacquer undercoaters. The Wood Coatings category does not include the following: clear sealers that are labeled and formulated for use on concrete/masonry surfaces; or coatings intended for substrates other than wood.

Wood Coatings must be labeled "For Wood Substrates Only", in accordance with Section 401.13.

- 28065 WOOD PRESERVATIVE: A coating labeled and formulated to protect exposed wood from decay or insect attack, that is registered with both the U.S. Environmental Protection Agency under the Federal Insecticide, Fungicide, and Rodenticide Act (7 United States Code (U.S.C.) Section 136, *et seq.*) and with the California Department of Pesticide Regulation.
- 281 WOOD SUBSTRATE: A substrate made of wood, particleboard, plywood, medium density fiberboard, rattan, wicker, bamboo, or composite products with exposed wood grain. Wood Products do not include items comprised of simulated wood.
- 282
   ZINC-RICH PRIMER: A coating that meets all of the following specifications:

   282.1
   The coating contains at least 65 percent metallic zinc powder or zinc dust by weight of total solids; and
  - 282.2 The coating is formulated for application to metal substrates to provide a firm bond between the substrate and subsequent applications of coatings; and
     282.3 The coating is intended for professional use only and is labeled as such, in accordance with the labeling requirements in Section 401.14.

#### 300 STANDARDS

301 **VOC CONTENT LIMITS:** Except as provided in Sections 302, and 303, 308, and 309, no person shall:

<u>301.1 (i) mManufacture</u>, blend, or repackage for sale within the District; or

- <u>301.2 (ii) sSupply</u>, sell, or offer for sale within the District; or
- <u>301.3 (iii) s</u>Solicit for application or apply within the District, any architectural coating with a VOC content in excess of the corresponding limit specified in the following tTable 1. Limits are expressed in grams of as VOC per liter of coating Regulatory, thinned to the manufacturer's maximum recommendation, excluding the volume of any water, exempt compounds, or any colorant added to the tint bases. "Manufacturer's maximum recommendation" means the maximum recommendation for thinning that is indicated on the label or lid of the coating container.

Table 1 VOC Content Limit <sup>1</sup> , g/L				
Coating Category	Limit <sup>4,2</sup> In Effect Prior to (six months after date of adoption) (This column will sunset on (six months after date of adoption)).	Effective 8/24/01 Effective (six months after date of adoption)	Effective 1/1/2003	Effective 1/1/2004
Flat Coatings	100	50	100	
Nonflat Coatings	150	100	<del>150</del>	
Nonflat – High Gloss Coatings Specialty Coatings:	250	<u>150</u>		
Aluminum Roof Coatings	<u>Metallic</u> <u>Pigmented</u> <u>Coatings (500)</u>	<u>400</u>		
Antenna Coatings <sup>2</sup>	530	<b>Eliminated</b>		
Antifouling Coatings <sup>2</sup>	400	Eliminated		
Basement Specialty Coatings	Waterproofing Sealers (250) or Waterproofing Concrete/ Masonry Sealers (400), as applicable	<u>400</u>		
Bituminous Roof Coatings	300	50		
Bituminous Roof Primers	350	350		
Bond Breakers	350	350		
Clear Wood Coatings: <sup>2</sup> Clear Brushing Lacquer Lacquers (including lacquer sanding sealers) Sanding Sealers (other than	680 550 350	Eliminated Eliminated Eliminated	<del>550</del>	
lacquer sanding sealers) Varnishes	350	Eliminated		
Concrete Curing Compounds	350	350		1
Concrete/Masonry Sealers	Waterproofing Sealers (250) or Waterproofing Concrete/ Masonry Sealers (400), as applicable	<u>100</u>		
Driveway Sealers	Flat (100), Non- Flat (150), or Non-Flat High Gloss (250), as Applicable	<u>50</u>		
Dry Fog Coatings	400	<u>150</u>		1
Faux Finishing Coatings	350	350	<u>.</u>	
Fire Resistive Coatings	350	350		
Fire Retardant Coatings: <sup>2</sup> Clear	650	Eliminated		

Table 1				
	VOC Content Limit <sup>1</sup> , g/L			
Coating Category	Limit <sup>1,2</sup> In Effect	Effective	Effective	Effective
	Prior to (six	<del>8/24/01</del>	<del>1/1/2003</del>	<del>1/1/2004</del>
	months after	<b>Effective</b>		
	date of	(six months		
	adoption)	after date		
	<u>(This column</u>	<u>of</u>		
	<u>will sunset on</u>	adoption)		
	<u>(six months</u>			
	after date of			
	adoption)).			
Opaque	350	Eliminated		
Floor Coatings	250	<u>100</u>		
Flow Coatings <sup>2</sup>	420	Eliminated		
Form-Release Compounds	250	250		
Graphic Arts Coatings (Sign	= 0.0	500		
Paints)	500	<u>500</u>		
High Temperature Coatings	420	420		1
Industrial Maintenance				250
Coatings	250	<u>250</u>		200
Low Solids Coatings <sup>12</sup>	120	120		1
Magnesite Cement Coatings	450	450		
Mastic Texture Coatings	300	100		
Metallic Pigmented Coatings	500	<u>500</u>	050	
Multi-Color Coatings	250	<u>250</u>	<del>250</del>	
Pre-Treatment Wash Primers	420	<u>420</u>		
Primers, Sealers, and Undercoaters	200	<u>100</u>	<del>200</del>	
Quick-Dry Enamels <sup>2</sup>	250	Eliminated	<del>250</del>	
Quick-Dry Primers, Sealers,	200	Eliminated	<del>200</del>	
Undercoaters <sup>2</sup>	Materia a fina			
Reactive Penetrating Sealers	Waterproofing			
	Sealers (250) or			
	<u>Waterproofing</u>	050		
	Concrete/	<u>350</u>		
	Masonry Sealers			
	<u>(400), as</u>			
	applicable			
Recycled Coatings	250	<u>250</u>		
Roof Coatings	250	<u>50</u>		
Rust Preventative Coatings	400	<u>250</u>		
Shellacs:				
Clear	730	<u>730</u>		
Opaque	550	<u>550</u>		
Specialty Primers, Sealers	250	100	-	
and Undercoaters	350	<u>100</u>		
Stains	250	<u>250</u>	<del>250</del>	
Stone Consolidants	Waterproofing			1
	Sealers (250) or			
	Waterproofing			
	<u>Concrete/</u>	<u>450</u>		
	Masonry Sealers	<u></u>		
	<u>(400), as</u>			
	applicable			
Swimming Pool Coatings	340	340		1
Swimming Pool Coalings	340	Eliminated		
Swimming FUUL Repair and	340			

	Table 1	I		
	VOC Content Limit <sup>1</sup> , g/L			
Coating Category	Limit <sup>3,2</sup> In Effect <u>Prior to (six</u> <u>months after</u> <u>date of</u> <u>adoption)</u> (This column <u>will sunset on</u> (six months <u>after date of</u> <u>adoption)).</u>	Effective 8/24/01 Effective (six months after date of adoption)	<del>Effective</del> <del>1/1/2003</del>	Effective 1/1/2004
Maintenance Coatings <sup>2</sup>				
Temperature-Indicator Safety Coatings <sup>2</sup>	550	Eliminated		
Traffic Marking Coatings	150	<u>100</u>		ļ
<u>Tub and Tile Refinish</u> <u>Coatings</u>	Flat (100), Non- Flat (150), or Non-Flat High Gloss (250), as Applicable	<u>420</u>		
Waterproofing Membranes	Waterproofing Sealers (250) or Waterproofing <u>Concrete/</u> <u>Masonry Sealers</u> (400), as applicable	<u>250</u>		
Waterproofing Sealers <sup>2</sup>	250	Eliminated	<del>250</del>	
Waterproofing Concrete/ Masonry Sealers <sup>2</sup>	400	Eliminated		
Wood Coatings	<u>Clear Wood</u> <u>Coatings</u> <u>Clear Brushing</u> <u>Lacquer (680),</u> <u>Lacquers</u> (including <u>lacquer sanding</u> <u>sealers) (550),</u> <u>Sanding Sealers</u> (other than <u>lacquer sanding</u> <u>sealers) (350), or</u> Varnishes (350)	<u>275</u>		
Wood Preservatives	350	<u>350</u>		
Zinc-Rich Primers	<u>Metallic</u> <u>Pigmented</u> <u>Coatings (500)</u>	<u>340</u>		

# RULES AND REGULATIONS

## SACRAMENTO METROPOLITAN AQMD

	Table '			
		VOC Content L	<u>.imit<sup>1</sup>, g/L</u>	
Coating Category	Limit <sup>1,2</sup> In Effect Prior to (six months after date of adoption) (This column will sunset on (six months after date of adoption)).	Effective 8/24/01 Effective (six months after date of adoption)	Effective 1/1/2003	Effective 1/1/2004

the table.

<sup>2</sup>Units are grams of VOC per liter (pounds of VOC per gallon) of coating, including water and exempt compounds.

Conversion factor: one pound VOC per gallon (U.S.) = 119.95 grams VOC per liter.

<sup>1</sup> Limits are expressed as VOC Regulatory, except for Low Solids Coatings. Limits for Low Solids Coatings are expressed as VOC Actual.

<sup>2</sup> This coating category will sunset on (six months after date of adoption). After (six months after date of adoption), these coatings will be subject to the VOC limits for other specialty coating categories, as defined effective (six months after date of adoption), or by the VOC limits for Flat, Nonflat, or Nonflat-High Gloss coatings, as applicable.

302 **MOST RESTRICTIVE VOC LIMITS:** If anywhere on the container of any architectural coating or any label or sticker affixed to the container, or in any sales, advertising, or technical literature supplied by a manufacturer or anyone acting on their behalf, any representation is made that indicates that the coating meets the definition of or is recommended for use for more than one of the coating categories listed in the table in Section 301, then the most restrictive VOC content limit shall apply<u>If a coating meets the definition in Section 200 for one or more specialty coating categories that are listed in Table 1, then that coating is not required to meet the VOC limits for Flat, Nonflat, or Nonflat-High Gloss coatings, but is required to meet the VOC limit for the applicable specialty coating listed in Table 1. This provision does not apply to the coating categories specified in Section 302.1 through 302.15</u>

With the exception of the specialty coating categories specified in Sections 302.1 through 302.12, if a coating is recommended for use in more than one of the specialty coating categories listed in Table 1, the most restrictive (or lowest) VOC content limit shall apply. This requirement applies to: usage recommendations that appear anywhere on the coating container, anywhere on any label or sticker affixed to the container, or in any sales, advertising, or technical literature supplied by a manufacturer or anyone acting on their behalf.

- 302.1 Lacquer coatings (including lacquer sanding sealers).
- 302.21 Metallic pigmented coatings.
- 302.32 Shellacs.
- 302.4 Fire-retardant coatings.
- 302.53 Pretreatment wash primers.
- 302.64 Industrial maintenance coatings.
- 302.57 Low-solids coatings.
- 302.86 Wood preservatives.
- 302.97 High temperature coatings.
- 302.10 Temperature-indicator safety coatings.
- 302.11 Antenna coatings.
- 302.12 Antifouling coatings.
- 302.13 Flow coatings.
- 302.148 Bituminous roof primers.
- 302.159 Specialty primers, sealers, and undercoaters.

302.10Aluminum roof coatings.302.11Zinc-rich Primers.302.12Wood coatings.

# 303 SELL-THROUGH OF COATINGS:

- 303.1 A coating manufactured prior to the (six months after date of adoption)January 1, 2003 or January 1, 2004 effective date specified for that coating in the table in Section 301 may be sold, supplied, or offered for sale until for up to (three years after the and six months after date of adoption), provided the coating complies with the version of RULE 442 ARCHITECTURAL COATINGS, effective January 1, 2004 (incorporated by reference). This version of the rule is posted on the District's web site, www.airquality.org.specified effective date. In addition, such a coating manufactured before the effective date specified for that coating in the table in Section 301-may be applied at any time, both before and after (six months after date of adoption), the specified effective date, so long as the coating complied with the standards in effect at the time the coating was manufactured. This Section 303 does not apply to any coating supplied in a container that complies with the future effective January 1, 2003 or January 1, 2004 limits or that does not display the date or date-code required by Section 401.1.
- 303.2 A coating included in an approved Averaging Program that does not comply with the specified limit in the table in Section 301 may be sold, supplied, or offered for sale for up to three years after the end of the compliance period specified in the approved Averaging Program. In addition, such a coating may be applied at any time, both during and after the compliance period This Section 303.2 does not apply to any coating that does not display on the container either the statement: "This product is subject to architectural coatings averaging provisions in California" or a substitute symbol specified by the Executive Officer of the California Air Resources Board. This Section 303.2 shall remain in effect until January 1, 2008.
- 304 **PAINTING PRACTICES:** All architectural coating containers used to apply the contents therein to a surface directly from the container by pouring, siphoning, brushing, rolling, padding, ragging or other means, shall be closed when not in use. These architectural coating containers include, but are not limited to, drums, buckets, cans, pails, trays or other application containers. –Containers of any VOC-containing materials used for thinning and cleanup shall also be closed when not in use.
- 305 **THINNING:** No person who applies or solicits the application of any architectural coating shall apply a coating that is thinned to exceed the applicable VOC limit specified in the tTable 1 in Section 301.
- 306 **RUST PREVENTATIVE COATINGS:** Effective January 1, 2004, nNo person shall apply or solicit the application of any rust preventative coating for industrial use, unless such a rust preventative coating complies with the industrial maintenance VOC limit specified in the tTable 1 in Section 301. (This section will sunset on (six months after date of adoption)).
- 307 COATINGS NOT LISTED IN SECTION 301: For any coating that does not meet any of the definitions for the specialty coatings categories listed in the tTable 1 in Section 301, the VOC content limit shall be determined by classifying the coating as a fFlat-coating or a, nNonflat, or Nonflat - High Gloss coating, based on its gloss, as defined in Sections 2245, 23440 and 23541 and the corresponding fFlat, Nonflat, or nNonflat – High Gloss Coating VOC limit in Table 1 shall apply.
- 308 **LACQUERS:** Notwithstanding the provisions of Sections 301 and 305, a person or facility may add up to 10 percent by volume of VOC to a lacquer to avoid blushing of the finish during days with relative humidity greater than 70 percent and temperature below 65 degrees Fahrenheit, at the time of application, provided that the coating contains acetone and no more than 550 grams of VOC per liter of coating, less water and exempt

compounds, prior to the addition of VOC. <u>(This section will sunset on (six months after date of adoption)).</u>

- 309 EARLY COMPLIANCE OPTION: Prior to (six months after date of adoption), any coating that meets all the requirements of the rule that will be in effect on (six months after date of adoption) shall be considered in compliance with this rule.
- 309 AVERAGING COMPLIANCE OPTION: On or after January 1, 2003, in lieu of compliance with the specified limits in the table in Section 301 for floor coatings; industrial maintenance coatings; primers, sealers, and undercoaters; quick-dry primers, sealers, and undercoaters; quick-dry enamels; roof coatings; bituminous roof coatings; rust preventative coatings; stains; waterproofing sealers, as well as flats and nonflats (excluding recycled coatings), manufacturers may average designated coatings such that their actual cumulative emissions from the averaged coatings are less than or equal to the cumulative emissions that would have been allowed under those limits over a compliance period not to exceed one year. Such manufacturers must also comply with the averaging provisions contained in Appendix A, as well as maintain and make available for inspection records for at least three years after the end of the compliance period. This Section 309 and Appendix A shall cease to be effective on January 1, 2005, after which averaging will no longer be allowed.

## 400 ADMINISTRATIVE REQUIREMENTS

- 401 **CONTAINER LABELING REQUIREMENTS:** Each manufacturer of any architectural coating subject to this rule shall display the information listed in Sections 401.1 through 401.914 on the coating container (or label) in which the coating is sold or distributed.
  - 401.1 **DATE CODE:** The date the coating was manufactured, or a date code representing the date, shall be indicated on the label, lid, or bottom of the container. If the manufacturer uses a date code for any coating, the manufacturer shall file an explanation of each code with the Executive Officer of the California Air Resources Board, and such explanation shall be made available to the Air Pollution Control Officer immediately upon request.
  - 401.2 **THINNING RECOMMENDATIONS:** A statement of the manufacturer's recommendation regarding thinning of the coating shall be indicated on the label or lid of the container. This requirement does not apply to the thinning of architectural coatings with water. If thinning of the coating prior to use is not necessary, the recommendation must specify that the coating is to be applied without thinning.
  - 401.3 **VOC CONTENT:** Each container of any coating subject to this rule shall display either the maximum or the actual VOC content of the coating, as supplied, including the maximum thinning as recommended by the manufacturer. VOC content shall be displayed as grams of VOC per liter of coating. VOC content displayed shall be calculated using product formulation data, or shall be determined using the test method in Section 502. The equations in Section 402 shall be used to calculate VOC content. Each container of any coating subject to this rule shall display one of the following values in grams of VOC per liter of coating:
    - a. Maximum VOC Content as determined from all potential product formulations; or
    - b. VOC Content as determined from actual formulation data; or
    - c. VOC Content as determined using the test methods in Section 502.1.
      - VOC Content, as defined in Section 274, shall be determined as specified in Section 402.

If the manufacturer does not recommend thinning, the container must display the VOC Content, as supplied. If the manufacturer recommends thinning, the

container must display the VOC Content, including the maximum amount of thinning solvent recommended by the manufacturer.

Effective (six months after date of adoption), if the coating is a multi-component product, the container must display the VOC Content as mixed or catalyzed. If the coating contains silanes, siloxanes, or other ingredients that generate ethanol or other VOCs during the curing process, the VOC Content must include the VOCs emitted during curing.

- 401.4 **INDUSTRIAL MAINTENANCE COATINGS:** In addition to the information specified in Sections 401.1, 401.2 and 401.3, each manufacturer of any industrial maintenance coating subject to this rule shall display on the label or lid of the container in which the coating is sold or distributed one or more of the descriptions listed in Sections 401.4.a through 401.4.c.
  - a. "For industrial use only."
  - b. "For professional use only."
  - c. "Not for residential use" or "Not intended for residential use."
- 401.5 **CLEAR BRUSHING LACQUERS:** Effective January 1, 2003, the <u>The</u> labels of all clear brushing lacquers shall prominently display the statements "For brush application only," and "This product must not be thinned or sprayed." (This section will sunset on (six months after date of adoption)).
- 401.6 **RUST PREVENTATIVE COATINGS:** Effective January 1, 2003, tThe labels of all rust preventative coatings shall prominently display the statement "For Metal Substrates Only."
- 401.7 **SPECIALTY PRIMERS, SEALERS, AND UNDERCOATERS:** Effective January <u>1, 2003, the The</u> labels of all specialty primers, sealers, and undercoaters shall prominently display one or more of the descriptions listed in Sections 401.7.a. through 401.7.e.
  - a. For blocking stains.
  - b. For fire-damaged substrates.
  - c. For smoke-damaged substrates.
  - d. For water-damaged substrates.
  - e. For excessively chalky substrates.
  - This section will sunset on (six months after date of adoption).
- 401.8 **QUICK-DRY ENAMELS:** Effective January 1, 2003, tThe labels of all quick dry enamels shall prominently display the words "Quick Dry" and the dry hard time. (This section will sunset on (six months after date of adoption)).
- 401.9 **NON-FLAT HIGH GLOSS COATINGS:** Effective January 1, 2003, tThe labels of all non-flat high gloss coatings shall prominently display the words "High Gloss."
- 401.10 FAUX FINISHING COATINGS: Effective (six months after date of adoption), the labels of all clear topcoat Faux Finishing coatings shall prominently display the statement "This product can only be sold and used as part of a Faux Finishing coating system."
- 401.11 **REACTIVE PENETRATING SEALERS:** Effective (six months after date of adoption), the labels of all Reactive Penetrating Sealers shall prominently display the statement "Reactive Penetrating Sealer."
- 401.12 **STONE CONSOLIDANTS:** Effective (six months after date of adoption), the labels of all Stone Consolidants shall prominently display the statement "Stone Consolidant For Professional Use Only."
- 401.13 WOOD COATINGS: Effective (six months after date of adoption), the labels of all Wood Coatings shall prominently display the statement "For Wood Substrates Only."
- 401.14 **ZINC RICH PRIMERS:** Effective (six months after date of adoption), the labels of all Zinc Rich Primers shall prominently display one or more of the descriptions listed in Sections 401.14.a through 401.14.c.
  - a. "For industrial use only."
  - b. "For professional use only."
  - c. "Not for residential use" or "Not intended for residential use."

- 402 **CALCULATION OF VOC CONTENT:** For the purpose of determining compliance with the VOC content limits in the t\_Table\_1 in Section 301, the VOC content of a coating shall be determined by using the procedures described in Sections 402.1 or 402.2, as appropriate. The VOC content of a tint base shall be determined without colorant that is added after the tint base is manufactured. If the manufacturer does not recommend thinning, the VOC Content must be calculated for the product as supplied. If the manufacturer recommends thinning, the VOC Content must be calculated including the maximum amount of thinning solvent recommended by the manufacturer. If the coating is a multi-component product, the VOC Content must be calculated as mixed or catalyzed. If the coating contains silanes, siloxanes, or other ingredients that generate ethanol or other VOCs during the curing process, the VOC Content must include the VOCs emitted during curing.
  - 402.1 <u>VOC Regulatory: VOC Regulatory, as defined in Section 275, shall be</u> <u>determined using the following equation:</u>With the exception of low solids coatings, determine the VOC content in grams of VOC per liter of coating thinned to the manufacturer's maximum recommendation, excluding the volume of any water and exempt compounds. Determine the VOC content using the following equation:

VOC-Content Regulatory = (W<sub>s</sub> - W<sub>w</sub> - W<sub>ec</sub>) / (V<sub>m</sub> - V<sub>w</sub> - V<sub>ec</sub>)

VOC <u>contentRegulatory</u> = grams of VOC per liter of coating, <u>excluding water and exempt</u> compounds, (also known as "Coating VOC")			
weight of all volatiles, in grams			
weight of water, in grams			
= weight of exempt compounds, in			
grams			
volume of coating, in liters			
volume of water, in liters			
<ul> <li>volume of exempt compounds,</li> </ul>			
in liters			

402.2 <u>VOC Actual: VOC Actual, as defined in Section 273, shall be determined using</u> <u>the following equation:</u> For low solids coatings, determine the VOC content in units of grams of VOC per liter of coating thinned to the manufacturer's maximum recommendation, including the volume of any water and exempt compounds. Determine the VOC content using the following equation:

VOC <u>Actual</u>Content<sub>is</sub> =  $(W_s - W_w - W_{ec}) / (V_m)$ 

Where:		VOC <u>Actual</u> content <sub>is</sub> = the VOC content of a low solids coating in grams of VOC per liter of coating, (also known as "Material VOC")
Ws	=	weight of all volatiles, in grams
Ww	=	weight of water, in grams
W <sub>ec</sub>	=	weight of exempt compounds, in grams
Vm	=	volume of coating, in liters

#### 500 MONITORING AND RECORDS

#### 501 **REPORTING REQUIREMENTS:**

501.1 CLEAR BRUSHING LACQUERS: Each manufacturer of clear brushing lacquers shall, on or before April 1 of each calendar year beginning in the year 2004, submit an annual report to the Executive Officer of the California Air Resources Board. The report shall specify the number of gallons of clear brushing lacquers

sold in California during the preceding calendar year, and shall describe the method used by the manufacturer to calculate State sales.

- 501.2 **RUST PREVENTATIVE COATINGS:** Each manufacturer of rust preventative coatings shall, on or before April 1 of each calendar year beginning in the year 2004, submit an annual report to the Executive Officer of the California Air Resources Board. The report shall specify the number of gallons of rust preventative coatings sold in California during the preceding calendar year, and shall describe the method used by the manufacturer to calculate State sales.
- 501.3 SPECIALTY PRIMERS, SEALERS, AND UNDERCOATERS: Each manufacturer of specialty primers, sealers, and undercoaters shall, on or before April 1 of each calendar year beginning in the year 2004, submit an annual report to the Executive Officer of the California Air Resources Board. The report shall specify the number of gallons of specialty primers, sealers, and undercoaters sold in California during the preceding calendar year, and shall describe the method used by the manufacturer to calculate State sales.
- 501.4 **TOXIC EXEMPT COMPOUNDS:** For each architectural coating that contains perchloroethylene or methylene chloride, the manufacturer shall, on or before April 1 of each calendar year beginning in the year 2004, report to the Executive Officer of the California Air Resources Board the following information for products sold in California during the preceding year:
  - the product brand name and a copy of the product label with legible usage instructions;
  - the product category listed in the table in Section 301 to which the coating belongs;
  - c. the total sales in California during the calendar year to the nearest gallon;
  - d. the volume percent, to the nearest 0.10 percent, of perchloroethylene and methylene chloride in the coating.
- 501.5 **RECYCLED COATING:** Manufacturers of recycled coatings must submit a letter to the Executive Officer of the California Air Resources Board certifying their status as a Recycled Paint Manufacturer. The manufacturer shall, on or before April 1 of each calendar year beginning in the year 2004, submit an annual report to the Executive Officer of the California Air Resources Board. The report shall include, for all recycled coatings, the total number of gallons distributed in California during the preceding year, and shall describe the method used by the manufacturer to calculate California's distribution.
- 501.6 **BITUMINOUS COATINGS:** Each manufacturer of bituminous roof coatings or bituminous roof primers shall, on or before April 1 of each calendar year beginning in the year 2004, submit an annual report to the Executive Officer of the California Air Resources Board. The report shall specify the number of gallons of bituminous roof coatings or bituminous roof primers sold in California during the preceding calendar year, and shall describe the method used by the manufacturer to calculate California's sales.
- 501.1 ARB REQUEST OF SALES DATA: A responsible official from each manufacturer shall upon request of the Executive Officer of the ARB, or his or her delegate, provide data concerning the distribution and sales of architectural coatings. The responsible official shall within 180 days provide information including, but not limited to:

a. the name and mailing address of the manufacturer;

b. the name, address and telephone number of a contact person;

- c. the name of the coating product as it appears on the label and the applicable coating category;
- d. whether the product is marketed for interior or exterior use or both;
- e. the number of gallons sold in California in containers greater than one liter (1.057 quart) and equal to or less than one liter (1.057 quart);
- f. the VOC Actual content and VOC Regulatory content in grams per liter. If thinning is recommended, list the VOC Actual content and VOC Regulatory content after maximum recommended thinning. If containers

#### RULES AND REGULATIONS

- less than one liter have a different VOC content than containers greater than one liter, list separately. If the coating is a multi-component product, provide the VOC content as mixed or catalyzed:
- the names and CAS numbers of the VOC constituents in the product;
- h. the names and CAS numbers of any compound in the product specifically exempted from the VOC definition, as referenced in Section 221;
- i. whether the product is marketed as solvent-borne, waterborne, or 100% solids;
- . description of resin or binder in the product;
  - whether the coating is single-component or multi-component product;
- the density of the product in pounds per gallon;
- m. the percent by weight of: solids, all volatile materials, water, and any compounds in the product specifically exempted from the VOC definition, as referenced in Section 221;
- n. the percent by volume of: solids, water, and any compounds in the product specifically exempted from the VOC definition, as referenced in Section 221.
- 501.2 All sales data listed under Section 501.1 shall be maintained by the responsible official for a minimum of three years. Sales data submitted by the responsible official to the Executive Officer of the ARB may be claimed as confidential, and such information shall be handled in accordance with the procedures specified in Title 17, California Code of Regulations Sections 91000-91022.
- 501.3 **DISTRICT INFORMATION REQUEST:** Section 501.1 does not limit the Air Pollution Control Officer's authority to request any manufacturer, supplier, wholesaler, or distributor to provide information pursuant to California Health and Safety Code Sections 40701(g) and 42303.2.

## 502 **TESTING PROCEDURE**S:

- 502.1 **VOC CONTENT:** To determine the physical properties of a coating in order to perform the calculation in Section 402, the reference method for VOC content is U.S. Environmental Protection Agency Method 24, incorporated by reference in Section 502.4.k, except as provided in Sections 502.2 and 502.3. An alternative method to determine the VOC content of coatings is South Coast Air Quality Management District Method 304-91 (Revised February 1996), incorporated by reference in Section 502.4.I. The exempt compounds content shall be determined by South Coast Air Quality Management District Method 303-91 (Revised August 1996), BAAQMD Method 43 (Revised 1996), or BAAQMD Method 41 (Revised 1995), as applicable, incorporated by reference in Sections 502.4.j, 502.4.h, and 502.4.i, respectively. To determine the VOC content of a coating, the manufacturer may use U.S. Environmental Protection Agency Method 24, or an alternative method as provided in Section 502.2, formulation data, or any other reasonable means for predicting that the coating has been formulated as intended (e.g. quality assurance checks, recordkeeping). However, if there are any inconsistencies between the results of a Method 24 test and any other means for determining VOC content, the Method 24 test results will govern, except when an alternative method is approved as specified in Section 502.2. The District Air Pollution Control Officer may require the manufacturer to conduct a Method 24 analysis.
- 502.2 **ALTERNATIVE TEST METHODS**: Other test methods demonstrated to provide results that are acceptable for purposes of determining compliance with Section 502.1, after review and approved in writing by the staffs of the District, the California Air Resources Board, and the U.S. Environmental Protection Agency, may also be used.
- 502.3 **METHACRYLATE TRAFFIC MARKING COATINGS:** Analysis of methacrylate multicomponent coatings used as traffic marking coatings shall be conducted according to a modification of U.S. Environmental Protection Agency Method 24 (40 CFR 59, subpart D, Appendix A), incorporated by reference in Section

502.4.m. This method has not been approved for methacrylate multicomponent coatings used for purposes other than as traffic marking coatings or for other classes of multicomponent coatings.

- 502.4 **TEST METHODS:** The following test methods are incorporated by reference herein, and shall be used to test coatings subject to provisions of this rule:
  - a. Flame Spread Index: The flame spread index of a fire-retardant coating shall be determined by ASTM <u>Designation</u> E-84-9913a, "Standard Test Method for Surface Burning Characteristics of Building Materials," (August 2012), (see Section 2249, Fire-Retardant Coating). (This section will sunset on (six months after date of adoption)).
  - b. Fire Resistance Rating: The fire resistance rating of a fire-resistive coating shall be determined by ASTM <u>Designation</u> E-119-9812a, "Standard Test Methods for Fire Tests of Building Construction Materials," (July 2012), (see Section 22319, Fire-Resistive Coating).
  - c. Gloss Determination: The gloss of a coating shall be determined by ASTM Designation D 523-89 08(1999), "Standard Test Method for Specular Gloss," (June 2008), (see Sections 2251, 24034, and 24135 and 240, Flat Coating, Nonflat Coating, and Nonflat-High Gloss Coating, and Quick-Dry Enamels).
    - 1. Gloss Determination for Quick Dry Enamel: The gloss of a Quick Dry Enamel shall be determined by ASTM D 523-08, "Standard Test Method for Specular Gloss" (July 2008), (See Section 248, Quick Dry Enamel. (This section will sunset on (six months after date of adoption)).
  - d. Metal Content of Coatings: The metallic content of a coating shall be determined by South Coast Air Quality Management District Method 318-95, "Determination of Weight Percent Elemental Metal in Coatings by X-Ray Diffraction," South Coast Air Quality Management District "Laboratory Methods of Analysis for Enforcement Samples," (see Sections-232 203, 222, and 238, Aluminum Roof, Faux Finishing, and Metallic Pigmented Coatings).
  - e. Acid Content of Coatings: The acid content of a coating shall be determined by ASTM Designation-D-1613-9606(2012), "Standard Test Method for Acidity in Volatile Solvents and Chemical Intermediates Used in Paint, Varnish, Lacquer, and Related Products," (June 2012), (see Section 24638, Pre-Treatment Wash Primers).
  - f. Drying Times: The set-to-touch, dry-hard, dry-to-touch, and dry-to-recoat times of a coating shall be determined by ASTM Designation D 1640-9503(2009), "Standard Test Methods for Drying, Curing, or Film Formation of Organic Coatings at Room Temperature," (August 2009), (see Section 2489 and 2494, Quick-Dry Enamel and Quick-Dry Primer, Sealer, and Undercoater). The tack-free time of a quick-dry enamel coating shall be determined by the Mechanical Test Method of ASTM Designation D-1640-9503(2009). (This section will sunset on (six months after date of adoption)).
  - g. Surface Chalkiness: The chalkiness of a surface shall be determined using ASTM <u>Designation</u> D-4214-<u>9807</u>, "Standard test Methods for Evaluating the Degree of Chalking of Exterior Paint Films," (July 2007), (see Section 2<u>61</u><del>52</del>, Specialty Primer, Sealer, and Undercoater). (This section will sunset on (six months after date of adoption)).
  - h. Exempt Compounds Siloxanes: Exempt compounds that are cyclic, branched, or linear completely methylated siloxanes, shall be analyzed as exempt compounds for compliance with Section 502 by Bay Area Air Quality Management District Method 43, "Determination of Volatile Methylsiloxanes in Solvent-Based Coatings, Inks, and Related Materials," Bay Area Air Quality Management District Manual of Procedures, Volume III, adopted 11/6/96, (see Section 27264, Volatile Organic Compounds and Section 502.1).

- i. Exempt Compounds Parachlorobenzotrifluoride (PCBTF): The exempt compound parachlorobenzotrifluoride, shall be analyzed as an exempt compound for compliance with Section 502 by Bay Area Air Quality Management District Method 41, "Determination of Volatile Organic Compounds in Solvent-Based Coatings and Related Materials Containing Parachlorobenzotrifluoride, Bay Area Air Quality Management District Manual of Procedures, Volume III, adopted 12/20/95, (see Section 27264, Volatile Organic Compound and Section 502.1).
- j. Exempt Compounds: The content of compounds exempt under U.S. Environmental Protection Agency Method 24 shall be analyzed by South Coast Air Quality Management District Method 304<u>3</u>-91 (Revised 1993), "Determination of eExempt eCompounds," South Coast Air Quality Management District "Laboratory Methods of Analysis for Enforcement Samples, (see Section 2<u>72</u>61, Volatile Organic Compound and Section 502.1.
- k. VOC Content of Coatings: The VOC content of a coating shall be determined by U.S. Environmental Protection Agency Method 24 as it exists in appendix A of 40 Code of Federal Regulations (CFR) part 60, "Determination of Volatile Matter Content, Water Content, Density, Volume Solids, and Weight Solids of Surface Coatings," (see Section 502.1)
- Alternative VOC Content of Coatings: The VOC content of coatings may be analyzed either by U.S. Environmental Protection Agency Method 24 or South Coast Air Quality Management District Method 304-91 (Revised 1996), "Determination of Volatile Organic Compounds (VOC) in Various Materials," South Coast Air Quality Management District "Laboratory Methods of Analysis for Enforcement Samples," (see Section 502.1)
- m. Methacrylate Traffic Marking Coatings: The VOC content of methacrylate multicomponent coatings used as traffic marking coatings shall be analyzed by the procedures in 40 CFR part 59, subpart D, appendix A, "Determination of Volatile Matter Content of Methacrylate Multicomponent Coatings Used as Traffic Marking Coatings, " <u>"(September 11, 1998),</u> (see Section 502.<u>13</u>).
- n. Hydrostatic Pressure for Basement Specialty Coatings: ASTM D7088-08, "Standard Practice for Resistance to Hydrostatic Pressure for Coatings Used in Below Grade Applications Applied to Masonry" (June 2008), (see Section 208.1, Basement Specialty Coating).
- o. **Tub and Tile Refinish Coating Adhesion:** ASTM D4585-07, "Standard Practice for Testing Water Resistance of Coatings Using Controlled Condensation" and ASTM D3359-09e2, "Standard Test Methods for Measuring Adhesion by Tape Test" (June 2009), (see Section 268.4, Tub and Tile Refinish Coating).
- p. **Tub and Tile Refinish Coating Hardness**: ASTM D3363-05(2011)e2, "Standard Test Method for Film Hardness by Pencil Test" (June 2011), (see Section 268.1, Tub and Tile Refinish Coating).
- g. **Tub and Tile Refinish Coating Abrasion Resistance**: ASTM D4060-10, "Standard Test Methods for Abrasion Resistance of Organic Coatings by the Taber Abraser" (February 2010), (see Section 268.2, Tub and Tile Refinish Coating).
- r. Tub and Tile Refinish Coating Water Resistance: ASTM D4585-07, "Standard Practice for Testing Water Resistance of Coatings Using Controlled Condensation" (June 2007), and ASTM D714-02(2009), "Standard Test Method for Evaluating Degree of Blistering of Paints" (July 2009), (see Section 268.3, Tub and Tile Refinish Coating).
- s. Waterproofing Membrane: ASTM C836/C836M-12, "Standard Specification for High Solids Content, Cold Liquid-Applied Elastomeric

<u>Waterproofing Membrane for Use with Separate Wearing Course</u>" (May 2012), (see Section 277, Waterproofing Membrane).

- t. Mold and Mildew Growth for Basement Specialty Coatings: ASTM D3273-12, "Standard Test Method for Resistance to Growth of Mold on the Surface of Interior Coatings in an Environmental Chamber" (February 2012) and ASTM D3274-09e1, "Standard Test Method for Evaluating Degree of Surface Disfigurement of Paint Films by Fungal or Algal Growth, or Soil and Dirt Accumulation" (March 2009), (see Section 208.2, Basement Specialty Coating).
- u. Reactive Penetrating Sealer Water Repellency: ASTM C67-12, "Standard Test Methods for Sampling and Testing Brick and Structural Clay Tile" (June 2012); or ASTM C97/C97M-09, "Standard Test Methods for Absorption and Bulk Specific Gravity of Dimension Stone" (April 2009); or ASTM C140-13, "Standard Test Methods for Sampling and Testing Concrete Masonry Units and Related Units" (March 2013), (see Section 250.1, Reactive Penetrating Sealer).
- v. Reactive Penetrating Sealer Water Vapor Transmission: ASTM E96/E96M-12, "Standard Test Method for Water Vapor Transmission of Materials" (December 2012), (see Section 250.2, Reactive Penetrating Sealer).
- w. Reactive Penetrating Sealer Chloride Screening Applications: <u>National Cooperative Highway Research Report 244 (1981), "Concrete</u> <u>Sealers for the Protection of Bridge Structures" (see Section 250.3,</u> Reactive Penetrating Sealer).
- x. Stone Consolidants: ASTM E2167-01(2008), "Standard Guide for Selection and Use of Stone Consolidants" (September 2008), (see Section 263, Stone Consolidant).

## RULES AND REGULATIONS

## Appendix A AVERAGING PROVISION

### A.1 AVERAGING PROVISION

A.1 The manufacturer shall demonstrate that actual emissions from the coatings being averaged are less than or equal to the allowable emissions, for the specified compliance period using the following equation:

## RULES AND REGULATIONS

The averaging is limited to coatings that are designated by the manufacturer. Any coating not designated in the averaging Program shall comply with the VOC limit in the table in Section 301. The manufacturer shall not include any quantity of coatings that it knows or should have known will not be used in California, if statewide coatings data are used. If district-specific coatings data are used, the manufacturer shall not include any quantity of coatings that it knows or should have known will not be used in California, if statewide coatings data are used. If district-specific coatings data are used, the manufacturer shall not include any quantity of coatings that it knows or should have known will not be used in the District.

A.1.1 In addition to the requirements specified in Section A.1, manufacturers shall not include in an Averaging Program any coating with a VOC content in excess of the following maximum VOC content, for the applicable categories.

Averaging Categories and VOC Ceiling (Maximum VOC Allowed)				
<u>Category</u>	Rule VOC Limit (In effect of effective 1/1/2003 or 1/1/2004)	Averaging VOC Ceiling (Maximum)		
Flat Coating	<del>100</del>	<del>250</del>		
Nonflat Coating	<del>150</del>	<del>250</del>		
Floor Coatings	<del>250</del>	<del>400</del>		
Industrial Maintenance Coatings	<del>250</del>	4 <del>20</del>		
Primers, Sealers, and Undercoaters	<del>200</del>	<del>350</del>		
Quick-DryPrimers,Sealers,andUndercoaters	<del>200</del>	4 <del>50</del>		
Quick-Dry Enamels	<del>250</del>	400		
Roof Coatings	<del>250</del>	<del>300</del>		
Bituminous Roof Coatings	<del>300</del>	<del>300</del>		
Rust Preventative Coatings	<del>400</del>	4 <del>00</del>		
Stains	<del>250</del>	<del>350</del>		
Waterproofing Sealers	<del>250</del>	<del>400</del>		

## A.2 AVERAGING PROGRAM (PROGRAM)

At least six months prior to the start of the compliance period, manufacturers shall submit an Averaging Program to the Executive Officer of the Air Resources Board. As used in this Appendix A, "Executive Officer" means the Executive Officer of the Air Resources Board. Averaging may not be implemented until the Program is approved in writing by the Executive Officer.

Within 45 days of submittal of a complete Program, the Executive Officer shall either approve or disapprove the Program. The Program applicant and the Executive Officer may agree to an extension of time for the Executive Officer to take action on the Program.

#### A.3 GENERAL REQUIREMENTS

The Program shall include all necessary information for the Executive Officer to make a determination as to whether the manufacturer may comply with the averaging requirements over the specified compliance period in an enforceable manner. Such

information shall include, but is not limited to, the following:

A.3.1 An identification of the contact persons, telephone numbers, and
name of the manufacturer who is submitting the Program.
A.3.2 An identification of each coating that has been selected by the
manufacturer for inclusion in this program that exceeds the applicable VOC limit
in the table in Section 301, its VOC content specified in units of both VOC actual
and VOC regulatory, and the designation of the coating category.
A.3.3 A detailed demonstration showing that the projected actual
emissions will not exceed the allowable emissions for a single compliance period
that the Program will be in effect. In addition, the demonstration shall include
VOC content information for each coating that is below the compliance limit in the
table in Section 301. The demonstration shall use the equation specified in
Section A.1 of this Appendix for projecting the actual emissions and allowable
emissions during each compliance period. The demonstration shall also include
all VOC content levels and projected volume sold within the State for each
coating listed in the Program during each compliance period. The requested data
can be summarized in a matrix form.
A.3.4 A specification of the compliance period(s) and applicable
reporting dates. The length of the compliance period shall not be more than one
year or less than six months.
A.3.5 An identification and description of all records to be made
available to the Executive Officer upon request, if different than those identified
under Section A.3.6.
A.3.6 An identification and description of specific records to be used in
calculating emissions for the Program and subsequent reporting, and a detailed
explanation as to how those records will be used by the manufacturer to verify
compliance with the averaging requirements.
A.3.7 A statement, signed by a responsible party for the manufacturer, that all
information submitted is true and correct, and that records will be made available
to the Executive Officer upon request.
A.4 REPORTING REQUIREMENTS
A.4.1 For every single compliance period, the manufacturer shall submit a mid-term
A.4.1 For every single compliance period, the manufacturer shall submit a mid-term report listing all coatings subject to averaging during the first half of the
compliance period, detailed analysis of the actual and allowable emissions at the
and of the mid-term, and an explanation as to how the manufacturer intends to

end of the mid term, and an explanation as to how the manufacturer intends to achieve compliance by the end of the compliance period. The report shall be signed by the responsible party for the manufacturer, attesting that all information submitted is true and correct. The mid-term report shall be submitted within 45 days after the midway date of the compliance period. A manufacturer may request, in writing, an extension of up to 15 days for submittal of the mid-term report.

A.4.2 Within 60 days after the end of the compliance period or upon termination of the Program, whichever is sooner, the manufacturer shall submit to the Executive Officer a report listing all coatings subject to averaging during the compliance period, providing a detailed demonstration of the balance between the actual and allowable emissions for the compliance period, any identification and description of specific records used by the manufacturer to verify compliance with the averaging requirement, and any other information requested by the Executive Officer to determine whether the manufacturer complied with the averaging requirements over the specified compliance period. The report shall be signed by the responsible party for the manufacturer, attesting that all information submitted is true and correct, and that records will be made available to the Executive Officer upon request. A manufacturer may request, in writing, an extension of up to 30 days for submittal of the final report.

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#### A.5 RENEWAL OF A PROGRAM

A Program automatically expires at the end of the compliance period. The manufacturer may request a renewal of the Program by submitting a renewal request that shall include an updated Program, meeting all applicable Program requirements. The renewal request will be considered conditionally approved until the Executive Officer makes a final decision to deny or approve the renewal request based on a determination of whether the manufacturer is likely to comply with the averaging requirements. The Executive Officer shall base such determination on all available information, including but not limited to, the mid-term and the final reports of the preceding compliance period. The Executive Officer shall make a decision to deny or approve a renewal request no later than 45 days from the date of the final report submittal, unless the manufacturer and the Executive Officer agree to an extension of time for the Executive Officer to take action on the renewal request.

#### A.6 MODIFICATION OF A PROGRAM

A manufacturer may request a modification of the Program at any time prior to the end of the compliance period. The Executive Officer shall take action to approve or disapprove the modification request no longer than 45 days from the date of its submittal. No modification of the compliance period shall be allowed. A Program need not be modified to specify additional coatings to be averaged that are below the applicable VOC limits.

#### A.7 TERMINATION OF A PROGRAM

- A.7.1 A manufacturer may terminate its Program at any time by filing a written notification to the Executive Officer. The filing date shall be considered the effective date of the termination, and all other provisions of this rule including the VOC limits shall immediately thereafter apply. The manufacturer shall also submit a final report 60 days after the termination date. Any exceedance of the actual emissions over the allowable emissions over the period that the Program was in effect shall constitute a separate violation for each day of the entire compliance period.
- A.7.2 The Executive Officer may terminate a Program if any of the following circumstances occur:
  - A.7.2.1 The manufacturer violates the requirements of the approved Program, and at the end of the compliance period, the actual emissions exceed the allowable emissions.
  - A.7.2.2 The manufacturer demonstrates a recurring pattern of violations and has consistently failed to take the necessary steps to correct those violations.

#### A.8 CHANGE IN VOC LIMITS

If the VOC limits of a coating listed in the Program are amended such that its effective date is less than one year from the date of adoption, the affected manufacturer may base its averaging on the prior limits of that coating until the end of the compliance period immediately following the date of adoption.

#### A.9 LABELING

Each container of any coating that is included in averaging program, and that exceeds the applicable VOC limit in the table in Section 301 shall display the following statement: "This product is subject to architectural coatings averaging provisions in California." A symbol specified by the Executive Officer may be used as a substitute.

## RULES AND REGULATIONS

## A.10 VIOLATIONS

The exceedance of the allowable emissions for any compliance period shall constitute a separate violation for each day of the compliance period. However, any violation of the requirements of the Averaging Provision of this rule, which the violator can demonstrate, to the Executive Officer, did not cause or allow the emission of an air contaminant and was not the result of negligent or knowing activity may be considered a minor violation.

#### A.11 SUNSET OF AVERAGING PROVISION

The averaging provision set forth in Appendix A shall cease to be effective on January 1, 2005, after which averaging will no longer be allowed.